

Allied World is committed to meeting the unique needs of publicly traded companies and their management teams. We understand the pressures that directors and officers face – in Canada and on the international stage – and can craft comprehensive coverage that protects corporate and personal assets.

FORCEFIELD®: PRIMARY COVERAGE for Publicly Held Companies

Specifically formulated to meet the unique needs of publicly traded companies and their management teams. Coverage highlights include:

- Personal Protections for Directors and Officers: Provides coverage for Facilitation Costs, Reputation Costs, Asset Protection Costs, U.K. Corporate Manslaughter Act Defense Costs, Liberty Protection Costs, and Pre-Claim Inquiry Costs.
- E-Discovery Services.
- Pre-Claim Inquiry Coverage.
- Broad Definition of 'Claim'.
- Broad Definition of 'Loss'.
- Broad Definition of 'Wrongful Act:' includes any act, error, omission, neglect, breach of duty, misstatement or misleading statement by an Insured Person or an Outside Entity Insured Person in his/her capacity as such or by the Company with respect to a Securities Claim.
- 'Limited' Conduct Exclusion.
- 'Narrow' Entity v. Insured Person Exclusion.
- 'Narrow' Prior Notice Exclusion.
- Favorable Notice Provisions.
- Retention Erosion.
- Defense Costs Advanced on 'Current Basis'.
- 'Severability' of the Application.
- Additional Features: fully non-rescindable policy; 'severability' of cooperation; recovery of limits less cost of recovery; no subrogation against an Insured Person.

EXECUTIVE FORCEFIELD®: Side 'A' Directors & Officers Excess and Lead Difference-in-Conditions (DIC) Insurance

Not only does the policy help to fill gaps and inconsistencies in coverage where the primary carrier fails to provide indemnification, it also affords the client (by way of a single claim limit) greater recovery under the original and reinstated limits when there are numerous unrelated claims.

- **Mitigation Costs:** reasonable costs, charges, fees and expenses that are incurred by Insured Persons to reduce the likelihood or severity of any Non-Indemnifiable Loss resulting from a potential Claim to which the policy would respond.
- **Policyholder Access Costs:** an additional \$1M limit may be available for the reasonable fees, charges, costs and expenses incurred by an Insured Person (director or officer of the Company) to investigate and defend against efforts by any other Insured Person or any third party to seize or attach this policy or any Underlying Policy, or enjoin such director or officer Insured Person from gaining access to the Limits of Liability provided by this policy or any Underlying Policy. (Coverage is not limited to ultimate parent company director or officer.)
- **Asset Protection Costs:** available, subject to sublimit.
- **Reputation Costs:** available, subject to sublimit.
- **Extended Reporting Period (ERP):**
 - **For Retired Insured Persons**

- **For Financial Impairment:** provides a 10 year ERP following financial impairment at no additional premium, provided that this policy is either cancelled or is not renewed or replaced.
- **Locally Required Extended Reporting Period:** provides the minimum period imposed or required by applicable local law, whichever is longer.
- **For Change in Control:** clients can purchase an ERP of up to 10 years, as of the date the Organizational Change takes effect, for an additional premium. (ERP can be longer than ERP purchased under any Underlying Policy.)
- **For CANCELLATION AND NON-RENEWAL:** clients can purchase up to a 10 year ERP for an additional premium.
- **Drop Down Event (DIC) includes:**
 - **Non-licensed DIC Extension:** failure or refusal of an Underlying Insurer to pay the Non-Indemnified Loss because the Underlying Insurer does not hold a local license.
 - **Quick Response:** failure of an Underlying Insurer to indemnify any Insured Person for the Non-Indemnified Loss within 45 days after indemnification is requested.
 - **Failure to Report a Claim:** the Underlying Insurer is not liable for the Non-Indemnified Loss including where there is an obligation in any Underlying Policy to report a Claim which has not been satisfied.
- Available to **Canadian financial institutions.**

PUBLIC COMPANY PRODUCT SUITE

(continued)

EXCESS D&O

Our expert underwriters make sure that there are no gaps or costly overlaps to this additional layer of coverage protection.

- True Follow Form Coverage with respect to all terms and conditions.
- Definitions and Defense Costs are also follow form.
- Easy to read policy language.
- Broad appetite for Public Companies; also available for private companies and not-for-profit organizations.

CAPACITY

Worldwide coverage up to \$15 million in limits available.

STRATEGIC RESPONSE®

Crisis Event Coverage included via endorsement at no additional cost.

DUAL LISTED CAPABILITIES

With offices in Canada, the United States, Europe and Asia, we have the ability to write corporations based in Canada with offices or subsidiaries in the U.S. In addition, we have the ability to write corporations that trade on both a Canadian and U.S. stock exchange.

ABOUT ALLIED WORLD

Allied World takes great pride in being able to think and respond in non-traditional ways to craft the right solutions for insureds. We work collaboratively to calibrate the optimal mix of service and protection:

- A broad appetite that allows us to entertain the simplest to most complicated risks.
- Dedicated claims specialists who are known for their expertise, responsiveness, diligence and ability to resolve claims to our clients' satisfaction.
- Allied World is a subsidiary of Fairfax Financial Holdings Limited, and we benefit from a worldwide network of affiliated entities that allow us to think and respond in non-traditional ways.

MULTINATIONAL INSURANCE PROGRAMS

- Access good local standard policies across 160+ countries
- Allied World owned network supplemented by professional networks and direct partnerships
- Compliance with local laws/regulations, including taxes and fees
- Experienced Global Placement team working with local expertise
- Local claims handling with global oversight

Quotes available in both Canadian and U.S. dollars.

CONTACT

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