

Allied World is proud to offer products and services for financial institutions in the United States. We understand and can address the intricate management and professional liability needs of these organizations.

## PRODUCT OFFERINGS

- **ForceField® Primary Directors and Officers (D&O)**
- **ForceField® Investment Management** – Management and professional liability protection for the complex exposures facing the asset management industry. We offer primary and excess coverage with the flexibility to select the coverage parts needed. Coverage options include Investment Advisor Professional Liability, D&O, Entity Liability, Fund Liability Coverage (for both registered and unregistered funds), General Partnership Liability and more.
- **Executive ForceField®** – A market leader and innovator in Side 'A' Lead DIC coverage, Allied World offers its revised and improved 2017 form to US-brokered Financial Institution risks.
- **Executive ForceField® (Side 'A' Lead DIC) endorsement** – Specifically tailored to the needs of independent trustees and directors of mutual funds, including the ability to provide broad Indemnifiable Loss (Side 'B') coverage for independent directors of mutual fund boards. The Side 'B' option will uniquely include certain drop down features.
- **Excess** capability for:
  - Blended Management and Professional Liability coverage options (inclusive of E&O, D&O, EPL, Fiduciary and Fidelity)
  - D&O for Private Companies
  - Professional Liability (E&O) for most classes of FI business
  - Fiduciary Liability on an excess basis

## APPETITE

Our broad appetite includes but is not limited to:

- Banking and Lending Institutions
- Trust Companies
- Asset Managers
- Mutual Funds and Advisors
- Hedge Funds
- Insurance Companies
- Investment Dealers and Brokers



This information is provided as a general overview for agents and brokers. Coverage will be underwritten by an insurance subsidiary of Allied World Assurance Company Holdings, Ltd, a Fairfax company ("Allied World"). Such subsidiaries currently carry an A.M. Best rating of "A" (Excellent), a Moody's rating of "A2" (Good) and a Standard & Poor's rating of "A+" (Strong), as applicable. Coverage is offered only through licensed agents and brokers. Actual coverage may vary and is subject to policy language as issued. Coverage may not be available in all jurisdictions. Risk management services are provided or arranged through AWAC Services Company, a member company of Allied World. © 2024 Allied World Assurance Company Holdings, Ltd. All rights reserved.

## MORE ABOUT OUR COVERAGE FOR INDEPENDENT DIRECTORS OF MUTUAL FUNDS

When added to our Executive ForceField® product, endorsements can be tailored to provide industry-leading coverage options for mutual fund independent directors. We have the flexibility to expand coverage beyond Side 'A' exposures to preserve fund assets that might otherwise be called upon to indemnify independent directors with broad Side 'B' coverage for Indemnifiable loss. The endorsement allows coverage to "drop down" when underlying insurance is rescinded, terminated, cancelled, uncollectible or unavailable due to a final determination by the underlying insurer (subject to the applicability of the limited conduct exclusion contained within the Policy).

## CONTACTS

**Marc Berner**  
 Senior Vice President  
 E. marc.berner@awac.com  
 T. 646.794.0780